

Privacy Policy Notice for Rice Dairy, LLC

April, 2019

At Rice Dairy, LLC (RD), we value our customers, and maintaining the trust and confidence of our customers is our highest priority. While it is necessary that we obtain accurate and current information about our customers in order to provide the highest level of customer service, we are dedicated to protecting the privacy and confidentiality of our customers' information.

We promise that we will uphold the privacy policies set forth below.

1 Information we collect about our customers:

The personal information that we collect from you comes from information that you may supply to us in account opening documents, trading agreements, or in other forms you may provide to us. This information may include your name, address, social security or tax identification number, and financial information about you.

We may also amass information regarding your transactions with us, including your trading history with our firm, or your use of the various services and products we provide,

We may obtain information about your credit history, and we may also receive information from other Introducing Broker firms, Associated Persons, or consumer reporting agencies.

"Cookies" are small text files consisting of encrypted information assigned to a computer's browser. Cookies do not collect or transmit your personal information. For users of the non-public areas of our website that require a user ID or password, RD may use cookies to identify you so that you do not have to input your password multiple times as you navigate your site. We may also use cookies for administrative purposes, such as to maintain security on our website.

Information we may share about our customers:

RD will not sell personal information regarding our current or former customers.

RD does not disclose any non-public personal information about our customer or our former customers to anyone, except as permitted or required by law.

To the extent that we may engage unaffiliated companies to assist in providing services on our website, such providers will be subject to contractual requirements to maintain the confidentiality of any personal information they may obtain in connection with the performance of their services for us. We will make every effort to make sure they receive the minimum amount of personal information necessary and will be allowed to retain that information only for as long as necessary in order to provide such services. Service providers will only be allowed to use personal information in the course of providing services to Rice Dairy and only for the purposes we authorize.

2 Information we may disclose:

We may disclose information about current or former customers in order to cooperate with legal or regulatory authorities or pursuant to a court order or subpoena.

We may disclose personal information as necessary to perform credit checks, collect debts, enforce our legal rights or otherwise protect our interests and property.

3 Security of your information:

RD is committed to protection of your personal information and to protecting your privacy. Only authorized RD employees or agents will have access to your personal information. All of our employees, affiliates and service providers are expected to maintain the highest standards of privacy and security.

If this privacy policy changes, you will be notified through the Rice Dairy website or in other appropriate ways. If you have questions relating to this policy, please contact your Rice Dairy account executive or representative.

Certain persons may have rights under the General Data Protection Regulation (GDPR) concerning their personal data. For more information regarding how Rice Dairy LLC addresses GDPR requirements, please click [here](#) for more information.